

SEC Order Handling Disclosure – SEC Rule 606

As adopted in November 2000, SEC Rule 606 requires all broker-dealers that route orders in equity and option securities to make available quarterly reports that present a general overview of their routing practices. FBMLS is an introducing broker-dealer. Most transactions effected by FBMLS are executed and settled through our clearing firm RBC Correspondent Services (RBC CS), a division of RBC Capital Markets, LLC., Minneapolis Minnesota. Being that RBC CS makes the routing decisions concerning the customer orders routed through them without regard to the identity of FBMLS as its introducing broker dealer, FBMLS feels that RBC CS is in the best position to prepare a quarterly report that reflects the clearing firm's routing practices on our behalf. Please review RBC CS' SEC Order Handling Disclosure at:

<http://external.s3.com/rule606/rbcwm/correspondentsvcs/>